



## Capecitabine Therapy and *DPYD* Genotype

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### Introduction

Capecitabine (brand name Xeloda) is a chemotherapy agent that belongs to the drug class of fluoropyrimidines. It is widely used in the treatment of several malignancies including colon cancer, metastatic colorectal cancer, and metastatic breast cancer. Capecitabine is a prodrug that is enzymatically converted to its active form, fluorouracil (also called 5-fluorouracil), which acts as an antimetabolite to slow tumor growth.

The *DPYD* gene encodes dihydropyrimidine dehydrogenase (DPD), an enzyme that catalyzes the rate-limiting step in fluorouracil metabolism. Dihydropyrimidine dehydrogenase inactivates 80–90% of 5-fluorouracil (5-FU) into 5,6-dihydro-fluorouracil. Genetic variants in the *DPYD* gene can lead to enzymes with reduced or absent activity. Individuals who have at least one copy of a nonfunctional *DPYD* variant (for example, c.1905+1G>A (formerly \*2A; rs3918290) or c.1679T>G (p.I560S; formerly \*13; rs55886062)) will not be able to metabolize fluorouracil at normal rates. Consequently, these individuals are at risk of potentially life-threatening fluorouracil toxicity, such as bone marrow suppression, gastrointestinal toxicity and, rarely, neurotoxicity. The prevalence of DPD partial deficiency varies in different populations but is approximately 3–5%. There is an FDA-approved antidote for 5-FU overdose: uridine triacetate. Overdose can occur in individuals with partial DPD deficiency taking either capecitabine or 5-FU.

The FDA-approved drug label for capecitabine states that no capecitabine dose has been proven safe in individuals with absent DPD activity, and that there is insufficient data to recommend a specific dose in individuals with partial DPD activity as measured by any specific test (Table 1) (1).

The Clinical Pharmacogenetics Implementation Consortium (CPIC) and the Dutch Pharmacogenetics Working Group (DPWG) have published dosing recommendations for fluoropyrimidines (capecitabine and fluorouracil) based on *DPYD* genotype (Tables 2 and 3). Both recommendations include dose reductions for intermediate metabolizers (with reduced enzyme activity), and avoiding fluorouracil and choosing an alternative agent for poor metabolizers (with absent enzyme activity) (2, 3, 4).

**Table 1.** The FDA Drug Label for Capecitabine: Warning DPD Deficiency (2020)

Phenotype	Capecitabine
DPD deficiency	Increased risk of severe or fatal adverse reactions in individuals with low or absent dihydropyrimidine dehydrogenase (DPD) activity. Withhold or permanently discontinue capecitabine tablets in individuals with evidence of acute early-onset or unusually severe toxicity, which may indicate near complete or total absence of (DPD) activity. No capecitabine dose has been proven safe in individuals with absent DPD activity.

Please see Therapeutic Recommendations based on Genotype for more information from FDA. This table is adapted from (1).

**Table 2.** The CPIC Recommended Dosing of Fluoropyrimidines (Capecitabine or 5-Fluorouracil) by DPD Phenotype (2017, Nov 2018 Update)

Phenotype	Implications for phenotypic measures	Activity score	Dosing recommendations	Classification of recommendations <sup>a</sup>
<i>DPYD</i> normal metabolizer	Normal DPD activity and “normal” risk for fluoropyrimidine toxicity.	2	Based on genotype, there is no indication to change dose or therapy. Use label-recommended dosage and administration.	Strong
<i>DPYD</i> intermediate metabolizer	Decreased DPD activity (leukocyte DPD activity at 30–70% that of the normal population) and increased risk for severe or even fatal drug toxicity when treated with fluoropyrimidine drugs.	1-1.5	Reduce starting dose by 50%, followed by dose titration based on clinical judgement (and ideally therapeutic drug monitoring) Individuals with homozygous c. [2846A>T];[2846A>T] genotype, a >50% reduction in starting dose may be warranted.	Moderate
<i>DPYD</i> poor metabolizer	Complete DPD deficiency and increased risk for severe or even fatal drug toxicity when treated with fluoropyrimidine drugs	0.5	Avoid use of 5-fluorouracil or 5-fluorouracil prodrug-based regimens. In the event, based on clinical advice, alternative agents are not considered a suitable therapeutic option, 5-fluorouracil should be administered at a strongly reduced dose <sup>c</sup> with early therapeutic drug monitoring. <sup>d</sup>	Strong
		0	Avoid use of 5-fluorouracil or 5-fluorouracil prodrug-based regimens.	

CPIC, Clinical Pharmacogenetics Implementation Consortium

DPD, dihydropyrimidine dehydrogenase.

<sup>a</sup> Rating scheme is described in Supplement (2).

<sup>b</sup> Increase the dose in individuals experiencing no or clinically tolerable toxicity in the first 2 cycles to maintain efficacy; decrease the dose in individuals who do not tolerate the starting dose to minimize toxicities.

<sup>c</sup> If available, a phenotyping test (see main text for further details) should be considered to estimate the starting dose. In the absence of phenotyping data, a dose of <25% of the normal starting dose is estimated assuming additive effects of alleles on 5-FU clearance.

<sup>d</sup> Therapeutic drug monitoring should be done at the earliest timepoint possible (for example, minimum timepoint in steady state) in order to immediately discontinue therapy if the drug level is too high.

This table is adapted from (2). Updated information for Intermediate Metabolizers from Nov 2018 update (5).

Note: The nomenclature used in this table reflects the standardized nomenclature for pharmacogenetic terms proposed by CPIC in a 2016 paper, “Standardizing terms for clinical pharmacogenetic test results: consensus terms from the Clinical Pharmacogenetics Implementation Consortium (CPIC)” (6).

**Table 3.** The DPWG Recommendations for Capecitabine/Fluorouracil by *DPD* Gene Activity, Systemic Route of Administration (2019)

<i>DPD</i> gene activity score	Recommendation	Pharmacist text
Activity score 1.5	Start with 50% of the standard dose or avoid fluorouracil and capecitabine. After starting treatment, the dose should be adjusted based on toxicity and effectiveness. Tegafur is not an alternative, as this is also metabolized by <i>DPD</i> .	The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose
Activity score 1.0	Start with 50% of the standard dose or choose an alternative. Adjustment of the initial dose should be guided by toxicity and effectiveness. Tegafur is not an alternative, as this is also metabolized by <i>DPD</i> .	Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.
PHENO <sup>1</sup>	It is not possible to recommend a dose adjustment for these individuals based on the genotype only. Determine the residual <i>DPD</i> activity in mononuclear cells from peripheral blood and adjust the initial dose based on phenotype and genotype or avoid fluorouracil and capecitabine. Tegafur is not an alternative, as this is also metabolized by <i>DPD</i> .	The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.
Activity score 0	Avoid fluorouracil and capecitabine Tegafur is not an alternative, as this is also metabolized by <i>DPD</i> . If an alternative is not possible: determine the residual <i>DPD</i> activity in mononuclear cells from peripheral blood and adjust the initial dose accordingly. An individual with 0.5% of the normal <i>DPD</i> activity tolerated 0.8% of the standard dose (150 mg capecitabine every 5 days). An individual with undetectable <i>DPD</i> activity tolerated 0.43% of the standard dose (150 mg capecitabine every 5 days with every third dose skipped).	Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the standard dose is a more than 100-fold overdose.

<sup>1</sup> Individual's genotype does not accurately predict activity level, phenotyping required.

Please see Therapeutic Recommendations based on Genotype for more information from DPWG. This table is adapted from (3, 4).  
DPWG, Dutch Pharmacogenetics Working Group

## Drug Class: Fluoropyrimidines

Fluoropyrimidines are a class of antimetabolite drugs that are widely used in the treatment of cancer. Currently, there are 3 types of fluoropyrimidines in clinical use: capecitabine (oral – pill) and 5-fluorouracil (5-FU – IV), which are licensed for use in the US, and tegafur, which is not available in the US. Capecitabine and tegafur are both active precursors of fluorouracil.

Fluoropyrimidines are thought to exert their chemotherapeutic effects through several active metabolites. The main mechanism of action is thought to be the inhibition of thymidylate synthase, which plays an important part in the folate-homocysteine cycle, and purine and pyrimidine synthesis pathways. Active metabolites can also be incorporated into RNA and DNA, ultimately leading to cell death (7). Based on their mechanism of action, fluoropyrimidines are teratogenic, as they can cause fetal harm when administered to a pregnant woman (8).

Approximately 10–40% of individuals develop severe and potentially life-threatening toxicity early during treatment with fluoropyrimidines (9). This toxicity typically leads to an interruption or discontinuation of potentially effective anticancer therapy and may require an emergency room visit or hospitalization in severe instances (10).

The inter-individual variation in the occurrence and severity of adverse events in individuals receiving fluoropyrimidines can be partly explained by clinical factors, such as age and gender. However, much of the variability in adverse events remains unexplained (11).

Of the genetic factors thought to contribute to fluoropyrimidine intolerance, the *DPYD* gene has been the most studied. This gene encodes the primary enzyme involved in breaking down fluoropyrimidines to inactive metabolites. Individuals who have DPD deficiency have a significantly increased risk of severe fluoropyrimidine toxicity, and the stratification of individuals based on *DPYD* genotype may help prevent adverse events (12, 13, 14, 15, 16, 17).

## Drug: Capecitabine

Capecitabine is a chemotherapy used as an adjunct treatment for colon cancer, and as either monotherapy or part of combination therapy for metastatic colorectal cancer, metastatic breast cancer, pancreatic cancer, esophageal cancer, head and neck cancers and neuroendocrine tumors (NETs) (1, 18, 19, 20, 21).

Capecitabine is an orally administered prodrug, which is converted to its active form, fluorouracil, by thymidine phosphorylase, an enzyme that can be found in higher concentrations in tumors compared to normal tissue and plasma. Fluorouracil (5-fluorouracil, 5-FU) is structurally similar to pyrimidines, and the enzyme that catalyzes the rate-limiting step in the breakdown of pyrimidines (DPD) also catalyzes the rate-limiting step in 5-FU catabolism. Dihydropyrimidine dehydrogenase catalyzes the conversion of fluorouracil to the non-cytotoxic dihydrofluorouracil (22).

Once capecitabine is activated to 5-FU, further metabolism generates 5-fluoro-2'-deoxyuridine monophosphate (FdUMP) and 5-fluorouridine triphosphate (FUTP). These 2 metabolites achieve cell injury by different mechanisms. The FdUMP targets thymidylate synthase (TS), inhibiting synthesis of an important DNA precursor. The FUTP is incorporated into RNA, leading to inhibition of RNA processing and protein synthesis. (1)

Uridine triacetate (brand name Vistogard) was approved December 11, 2015 as an antidote for fluorouracil and capecitabine overdose (23). Exogenous uridine competes with 5-FU for incorporation into RNA, thus diluting the toxic effects of high 5-FU levels. Uridine triacetate is 4–6-fold higher in bioavailability than equimolar doses of uridine (24).

Uridine triacetate is meant for overdose treatment of adults or children, however, it can be considered in situations of individuals with pharmacogenetic deficiency, which is technically an overdose (25, 26). The high cost of a single course of uridine triacetate therapy has been cited as a potential barrier to therapy. Nevertheless, 94% of clinical trial individuals treated with uridine triacetate survived the overdose event, a notable improvement over the historic mortality rate of 84% (24).

Symptomatic DPD deficiency is a rare autosomal recessive disorder with a wide range of symptoms, ranging from no symptoms or signs to severe neurological problems. In affected individuals, the absent or greatly reduced DPD activity results in uracil and thymine accumulating in the blood, urine, and cerebrospinal fluid. Neurological symptoms typically manifest in early childhood and include seizures, small head size, and delayed cognitive and motor development (27).

Symptomatic DPD deficiency is typically caused by homozygous inactivation of *DPYD*; whereas individuals who are heterozygotes tend to be asymptomatic. However, all individuals with less than 70% DPD activity are considered at risk for the development of severe drug toxicity when treated with fluoropyrimidines (28). Signs of capecitabine toxicity include severe diarrhea, severe mucositis, neutropenia, hand-foot syndrome, and neurotoxicity (1).

Capecitabine can cause fetal harm when administered to a pregnant woman; however, the limited human data are not sufficient to inform the drug-associated risk during pregnancy. There is also no information regarding the presence of capecitabine in human milk, or its effects on milk production the breast-fed infant. The FDA label advises that women should not breastfeed during treatment with capecitabine nor for 2 weeks following the final dose. (1)

Safety and efficacy of capecitabine in pediatric individuals has not been established. Additional monitoring and precautions should be employed when administering capecitabine in the elderly and individuals with mild to moderate hepatic dysfunction. Individuals with moderate and severe renal impairment have demonstrated higher exposure for capecitabine and its metabolites when compared to individuals with normal renal function. (1)

## Gene: *DPYD*

The *DPYD* gene encodes the enzyme DPD, which catalyzes the first and rate-limiting step in the breakdown of the pyrimidine nucleotides thymine and uracil. Dihydropyrimidine dehydrogenase also catalyzes the rate-limiting step in the breakdown of fluoropyrimidines.

Many *DPYD* variants have been described, although only a few have been demonstrated to influence DPD enzyme activity. When no variant is detected (formerly known as \*1), it is associated with normal enzyme activity. Individuals who have 2 copies of *DPYD* alleles with normal activity are known as “normal metabolizers” and have fully functional DPD enzyme activity (Table 4). The *DPYD* alleles c.1601G>A (\*4, rs1801158), c.1627G>A (\*5, rs1801159), c.2194G>A (\*6, rs1801160), and c.85T>C (\*9A, rs1801265) are also considered to have normal activity (29). Historically, variant haplotypes in *DPYD* have been identified by their star (\*) allele names. However, the Pharmacogene Variation Database (PharmVar) now identifies these alleles by their dbSNP “rs” allele identifier or cDNA change based on the NM\_000110.3 transcript, *DPYD* mRNA variant 1. All 3 of these identifiers are provided in the Nomenclature for Selected *DPYD* alleles table below.

**Table 4.** Activity Status of Selected *DPYD* Alleles

Allele type	Alleles	
	Strong evidence to support function	Moderate evidence to support function
Normal function	No variant detected (*1), c.1627G>A (*5, rs1801159), c.85T>C (*9A, rs1801265)	c.1601G>A (*4, rs1801158), c.2194G>A (*6, rs1801160), c.1003G>T (*11, rs72549306), c.2657G>A (*9B, rs1801267), 496A>G (rs2297595)
Decreased function	c.2846A>T (rs67376798), 1129-5923C>G and 1236G>A (HapB3)	c.557A>G (rs115232898)
No function	c.1905+1G>A (*2A, rs3918290)	c.1898delC (*3, rs72549303), c.295_298delTCAT (*7, rs72549309), c.703C>T (*8, rs1801266), c.2983G>T (*10, rs1801268), c.1156G>T (*12), c.1679T>G (*13, rs55886062)

This table is adapted from the “*DPYD* Allele Functionality Table”, available from [CPIC](#). Additional variant information from the [PharmVar](#) database. cDNA coordinates for variation are given for NM\_000110.3, *DPYD* transcript variant 1. For the nomenclature of human *DPYD* alleles, please see (30).

The nonfunctional *DPYD* variants that have been associated with absent DPD activity and an increased risk of toxicity with fluoropyrimidines include c.1905+1G>A (\*2A, rs3918290) and c.1679T>G (\*13, rs55886062) (22). Variants with decreased function include rs67376798 (c.2846A>T) and HapB3, which also are associated with an increased risk of fluoropyrimidine toxicity. The most well-studied variant is *DPYD* c.1905+1G>A (\*2A, rs3918290), in which a single nucleotide substitution at the invariant splice donor site of intron 14 leads to exon 14 skipping, resulting in the production of a truncated protein with no enzyme activity.

Individuals who have one normal function and one decreased function or no function *DPYD* alleles are known as “intermediate metabolizers”. Individuals with 2 decreased function alleles are also categorized as intermediate metabolizers, as they have partial DPD deficiency and are at increased risk of capecitabine toxicity. And individuals who have a combination of nonfunctional *DPYD* alleles, or decreased function *DPYD* alleles, or both are known as “poor metabolizers”, as they have complete DPD deficiency and are at very high risk of capecitabine toxicity.

Activity scores may be used to distinguish between the various *DPYD* alleles and their functionality (Table 5). The use of activity scores may result in differentiated individualized dosing advice for fluoropyrimidines, which is beneficial for reducing toxic side effects while maintaining efficacy (16).

**Table 5.** Assignment of likely DPD Phenotype based on *DPYD* Genotype (CPIC, 2017)

Likely phenotype	Activity score <sup>a</sup>	Genotype <sup>b</sup>	Examples of genotype <sup>c</sup>
<i>DPYD</i> normal metabolizer	2	An individual with 2 normal function alleles.	c.[=]; [=] c.[85T>C]; [=] c.[1627A>G]; [=]
<i>DPYD</i> intermediate metabolizer (approximately 3–5% of individuals)	1 or 1.5	An individual with one normal function allele plus one no function allele or one decreased function allele, or an individual with 2 decreased function alleles.	c.[1905+1G>A]; [=] c.[1679T>G]; [=] c.[2846A>T]; [=] c.[1129–5923C>G]; [=] <sup>d</sup> c.[1129–5923C>G]; [1129–5923C>G] <sup>d</sup> c.[2846A>T]; [2846A>T]
<i>DPYD</i> poor metabolizer (approximately 0.2% of individuals)	0 or 0.5	An individual with 2 no function alleles or an individual with one no function plus one decreased function allele.	c.[1905+1G>A]; [1905+1G>A] c.[1679T>G]; [1679T>G] c.[1905+1G>A]; [2846A>T] c.[1905+1G>A]; [1129–5923C>G]

"[ ]" Square brackets are used to indicate an allele, "[=]" Indicates the allele sequence was tested and no changes were found

<sup>a</sup> Calculated as the sum of the 2 lowest individual variant activity scores. See (2) for further information.

<sup>b</sup> Allele definitions, assignment of allele function and references can be found on the [CPIC website](#) (*DPYD* Allele Functionality Table)

<sup>c</sup> HGVS nomenclature using the reference sequence NM\_000110.3.

<sup>d</sup> Likely HapB3 causal variant. See *DPYD* Allele Functionality Table available or other HapB3 proxy SNPs. This table is adapted from (2).

Guidelines for the description and nomenclature of gene variations are available from the Human Genome Variation Society (HGVS). Note: The nomenclature used in this table reflects the standardized nomenclature for pharmacogenetic terms proposed by CPIC (6).

Overall, the prevalence of individuals who are heterozygous for nonfunctional variant *DPYD* alleles (partially DPD deficient) and at risk of severe drug reactions is estimated to be as high as 5–8%, but this varies in different populations (9, 28, 31, 32, 33, 34, 35). In Caucasians, approximately 3–5% of have partial DPD deficiency and 0.2% have complete DPD deficiency (32). Recent studies suggest that ~8% of Caucasians have at least one of the 4 best-known altered-function alleles (36).

In African-Americans, the prevalence of decreased DPD enzyme activity is 8% (35). It is notable that despite being well studied, *DPYD* c.1905+1G>A (\*2A, rs3918290) is very rare in individuals of African ancestry (37). One study did note that the normal function c.85T>C (\*9A, rs1801265) variant was present in 49% of African-American samples (38). The rs115232898 (c.557A>G) variant allele with reduced function was detected in 2.6% of African-heritage Brazilians (39).

Studies of Egyptian and Tunisian populations suggest the allelic frequencies for *DPYD* variants in in these 2 countries are similar to Caucasian variant allele frequencies (40, 41). The frequency of the poor-metabolizer rs67376798 (c.2846A>T) allele in Mestizo and Native Mexican populations is rare, but not significantly different than in MXL (Mexican Ancestry from Los Angeles USA) or CEU (Utah Residents (CEPH) with Northern and Western European Ancestry) populations in the 1000 genomes project (42).

Asian populations have slightly different allele frequencies as compared to African and European populations. The frequency of the c.85T>C (\*9A, rs1801265) normal function variant was slightly lower in Han Chinese, Korean and Japanese populations, particularly compared to Africans, though the frequency of the c.2657G>A (\*9B, rs1801267) normal function variant and c.295\_298delTCAT (\*7, rs72549309), c.703C>T (\*8, rs1801266), and c.2983G>T (\*10, rs1801268) no function alleles were similar across these groups (38). The c.1905+1G>A (\*2A/\*2B, rs3918290) and c.1679T>G (\*13, rs55886062) no function alleles were not detected in a study of Hmong and East Asian descent individuals, underscoring the rarity of these alleles (43). An analysis of multiple genotyping studies in South Asian populations found that the normal function rs2297595 (c.496A>G) allele was prevalent in south Asia (44).

Most individuals in the US are not screened for DPD deficiency before starting fluorouracil therapy (45). In contrast, the European Medicines Agency recommends testing for DPD deficiency before initiating treatment with any fluorouracil related chemotherapy (37).

## Gene: *TYMS*

Emerging studies and reports suggest that genetic variation at another locus may also affect 5-FU efficacy and toxicity—*TYMS*. This gene encodes TS, which catalyzes the methylation of deoxyuridylate to deoxythymidylate. This reaction is a rate-limiting step in the production of an essential DNA synthesis precursor. The TS expression correlates with sensitivity to 5-FU and the TS enzyme one of the targets of 5-FU(46). While this functional link to 5-FU metabolism and tumor response has been demonstrated in multiple studies, the impact of specific genetic variants in *TYMS* is less clear (46, 47, 48, 49). The *TYMS* alleles have been reported in a handful of studies as being associated with increased toxicity and anti-tumor cell response with fluoropyrimidines.

The rs45445694 polymorphism is the defining variant of the *TYMS* “2R” allele, which has been associated with clinical response and severe toxicity events, either in homozygosity or heterozygosity (25, 50, 51, 52). This allele is in the 5'UTR and is a duplication a 28 base pair (bp) repeat. This same locus can have variable tandem repeats between 0 and 9 copies, and studies suggest that increased copy numbers of the repeat are associated with increased *TYMS* expression and TS protein levels (53).

One additional variant in *TYMS* has been found in association with adverse reactions to fluoropyrimidine therapy: a 3'UTR 9 bp-indel (rs11280056) (51, 53). There are conflicting reports as to whether this is a 6- or 9-bp-indel. One variant (rs2853542) within the *TYMS* enhancer region in the context of the 28bp tandem repeat triplication, called 3RG or 3RC based on the specific nucleotide present, has also been reported in association with neurotoxicity during 5-FU treatment (54). The presence of the C nucleotide at rs2853542 has been associated with decreased expression of *TYMS* mRNA (55).

PharmGKB has described *TYMS* as a Very Important Pharmacogene, though the level of evidence for *TYMS* and capecitabine/5-fluorouracil interaction is limited (PharmGKB “level 3”) (53). CPIC also views this interaction as having limited evidence and thus provides no prescribing recommendations for these pharmacogenetic variants (56).

## Linking Gene Variation with Treatment Response

Standard doses of fluorouracil increase the risk of severe toxicity in individuals who have specific *DPYD* variant alleles. No dose of capecitabine is safe in individuals with absent DPD activity (1, 57). Multiple studies have found that preemptive *DPYD* screening for individuals with cancer can significantly improve individual safety (10, 36, 58, 59, 60, 61, 62, 63, 64). Additionally, prospective genotyping decreased chemotherapy toxicities and was cost effective (10).

At least one case report indicated that the cost of administering uridine triacetate and palliative care following an adverse, overdose reaction to 5-FU was roughly \$180,000 USD (25). This is significantly higher than the cost of most pre-emptive pharmacogenetic tests.

## Genetic Testing

The NIH Genetic Testing Registry, GTR, displays genetic tests that are available for the *DPYD* gene, *TYMS* gene, and the *capecitabine drug response*. The *DPYD\*2A* variant is the most commonly tested. Tests available for clinical practice include full gene sequencing and targeted panel-based testing of selected variants. In cases where a targeted panel is used, only those specific variants are examined. A negative result does not mean the individual does not have DPD deficiency. Clinicians should refer to the specific testing laboratory for complete information on the test. CPIC provides a table of minor allele frequencies for *DPYD* variants per ethnic populations, which may be useful when determining what type of test or panel will be most informative for any individual (5).

Biochemical genetic tests may also be used, which assess the level of activity of the DPD enzyme. These tests include biochemical assays such as analyte testing (for example, measuring the amount of thymine and uracil in the urine or blood) or an enzyme assay (for example, directly measuring the activity of DPD using RNA extracted from blood cells and measuring the DPD mRNA copy number) (65, 66, 67).

The GTR provides a list of biochemical tests that assess the levels of *thymine* and *uracil* analytes, and the activity of the enzyme *dihydropyrimidine dehydrogenase*.

## Therapeutic Recommendations based on Genotype

**This section contains excerpted<sup>1</sup> information on gene-based dosing recommendations. Neither this section nor other parts of this review contain the complete recommendations from the sources.**

### 2020 Statement from the US Food and Drug Administration (FDA)

Based on postmarketing reports, individuals with certain homozygous or certain compound heterozygous mutations in the [*DPYD*] gene that result in complete or near complete absence of DPD activity are at increased risk for acute early-onset of toxicity and severe, life-threatening, or fatal adverse reactions caused by capecitabine (e.g., mucositis, diarrhea, neutropenia, and neurotoxicity). Individuals with partial DPD activity may also have increased risk of severe, life-threatening, or fatal adverse reactions caused by capecitabine.

Withhold or permanently discontinue capecitabine based on clinical assessment of the onset, duration and severity of the observed toxicities in individuals with evidence of acute early-onset or unusually severe toxicity, which may indicate near complete or total absence of DPD activity. No capecitabine dose has been proven safe for individuals with complete absence of DPD activity. There is insufficient data to recommend a specific dose in individuals with partial DPD activity as measured by any specific test.

**Please review the complete therapeutic recommendations that are located here: (1).**

### 2017 Statement from the Clinical Pharmacogenetics Implementation Consortium (CPIC), with November 2018 Update

[...]

<sup>1</sup> The FDA labels specific drug formulations. We have substituted the generic names for any drug labels in this excerpt. The FDA may not have labeled all formulations containing the generic drug. Certain terms, genes and genetic variants may be corrected in accordance to nomenclature standards, where necessary. We have given the full name of abbreviations, shown in square brackets, where necessary.



Table 2 summarizes the genetics-based dosing recommendations for fluoropyrimidines using the calculated *DPYD* activity score (*DPYD*-AS). The strength of the prescribing recommendations is based on the known impact of some variants (c.1905+1G>A, c.1679T>G, c.2846A>T, c.1129–5923C>G) on DPD activity, the demonstrated relationship between DPD activity and 5-fluorouracil clearance, and between 5-fluorouracil exposure and its toxic effects. Individuals who are heterozygous for *DPYD* decreased/no function variants demonstrate partial DPD deficiency and should receive reduced starting doses. Prospective genotyping of c.1905+1G>A followed by a 50% dose reduction in heterozygous carriers resulted in a rate of severe toxicity comparable to noncarriers[see (10)]. This study thus demonstrated that *DPYD* genetic testing can reduce the occurrence of severe fluoropyrimidine-related toxicity, and that a dose reduction of 50% is suitable for heterozygous carriers of no function variants (*DPYD*-AS: 1). For decreased function variants, evidence is limited regarding the optimal degree of dose reduction. For c.2846A>T, a small retrospective study observed that the average capecitabine dose in heterozygous carriers was reduced by 25% compared to noncarriers. In a small prospective study, five individuals carrying c.1236G>A (proxy for c.1129–5923C>G) were safely treated with a 25% reduced capecitabine starting dose. This suggests that heterozygous carriers of decreased function variants (*DPYD*-AS: 1.5) may tolerate higher doses compared to carriers of no function variants (*DPYD*-AS: 1). In individuals with *DPYD*-AS of 1.5, the individual circumstances of a given individual should therefore be considered to determine if a more cautious approach (50% starting dose followed by dose titration), or an approach maximizing potential effectiveness with a potentially higher toxicity risk (25% dose reduction) is preferable. Of note, both studies indicating the suitability of a 25% dose reduction in decreased function variant carriers included only individuals receiving capecitabine and no data are currently available for infusional 5-fluorouracil.

Given that some individuals carrying decreased or no function variants tolerate normal doses of 5-fluorouracil, to maintain effectiveness, doses should be increased in subsequent cycles in individuals experiencing no or clinically tolerable toxicity in the first two chemotherapy cycles or with subtherapeutic plasma concentrations. Similarly, doses should be decreased in individuals who do not tolerate the starting dose.

In *DPYD* poor metabolizers (*DPYD*-AS: 0.5 or 0), it is strongly recommended to avoid use of 5-fluorouracil-containing regimens. However, if no fluoropyrimidine-free regimens are considered a suitable therapeutic option, 5-fluorouracil administration at a strongly reduced dose combined with early therapeutic drug monitoring may be considered for individuals with *DPYD*-AS of 0.5. It should be noted, however, that no reports of the successful administration of low-dose 5-fluorouracil in *DPYD* poor metabolizers are available to date. Assuming additive effects of decreased and no function alleles (*DPYD*-AS: 0.5), it is estimated that a dose reduction of at least 75% would be required (i.e., starting dose <25% of normal dose). Furthermore, in such cases a phenotyping test is advisable to estimate DPD activity and a starting dose.

The US Food and Drug Administration (FDA) and the Health Canada Santé Canada (HCSC) have added statements to the drug labels for 5-fluorouracil and capecitabine that warn against use in individuals with DPD deficiency, and prescribing recommendations for 5-fluorouracil, capecitabine, and tegafur are also available from the Dutch Pharmacogenetics Working Group.

### **November 2018 Update:**

The current *DPYD* guideline recommends to reduce the dose of fluoropyrimidines by 25-50% (from the full standard dose) in *DPYD* Intermediate Metabolizers with an activity score of 1.5. At the time of the guideline publication, this dose range was recommended due to limited evidence for genotype-guided dosing of decreased function alleles/variants. However, a recent prospective study (PMID: 30348537) provides evidence to support a recommendation for a 50% dose reduction in heterozygous carriers of the decreased function variants c.2846A>T (rs67376798) or c.1129–5923C>G (rs75017182; HapB3 or its tagging variant c.1236G>A; rs56038477). These data suggest that all Intermediate Metabolizers with an activity score of 1.5 should receive a 50% dose reduction.

Therefore CPIC revises its recommendation such that all *DPYD* Intermediate Metabolizers should receive a 50% dose reduction from the full standard starting dose, whether the activity score is 1 or 1.5 followed by dose titration, based on clinical judgement and ideally therapeutic drug monitoring.

In addition, recent case reports from individuals who are homozygous for c.2846A>T (activity score of 1) indicate that a dose reduction of more than 50% may be required in some carriers of this genotype. Therefore, in individuals with an activity score of 1 due to a homozygous c.[2846A>T];[2846A>T] genotype, clinicians should be aware that a >50% reduction in starting dose might be warranted.

**Please review the complete therapeutic recommendations that are located here:** (2, 5)

## **2019 Summary of recommendations from the Dutch Pharmacogenetics Working Group (DPWG) of the Royal Dutch Association for the Advancement of Pharmacy (KNMP)**

### **DPD Gene Activity Score 0**

The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the standard dose is a more than 100-fold overdose.

- Avoid fluorouracil and capecitabine

Tegafur is not an alternative, as this is also metabolized by DPD.

- If it is not possible to avoid fluorouracil and capecitabine: determine the residual DPD activity in mononuclear cells from peripheral blood and adjust the initial dose accordingly.

An individual with 0.5% of the normal DPD activity tolerated 0.8% of the standard dose (150 mg capecitabine every 5 days). An individual with undetectable DPD activity tolerated 0.43% of the standard dose (150 mg capecitabine every 5 days with every third dose skipped)

### **DPD PHENO [phenotyping indicates reduced function]**

The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.

It is not possible to recommend a dose adjustment for this individual based on the genotype only.

- determine the residual DPD activity in mononuclear cells from peripheral blood and adjust the initial dose based on phenotype and genotype, or avoid fluorouracil and capecitabine.

Tegafur is not an alternative, as this is also metabolized by DPD.

### **DPD Gene Activity Score 1**

The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.

- Start with 50% of the standard dose or avoid fluorouracil and capecitabine.

Adjustment of the subsequent dose should be guided by toxicity and effectiveness. However, in one study involving 17 individuals with gene activity 1, the average dose after titration was 57% of the standard dose.

Tegafur is not an alternative, as this is also metabolized by DPD.

### **DPD Gene Activity Score 1.5**

The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.

- Start with 50% of the standard dose or avoid fluorouracil and capecitabine.

After starting treatment, the dose should be adjusted based on toxicity and effectiveness. In a study involving 17 individuals with genotype *1/2846T*, the average dose after titration was 64% of the standard dose. For 51 individuals with genotype *1/1236A*, the average dose after titration was 74% of the standard dose. Tegafur is not an alternative, as this is also metabolized by DPD.

### DPD Gene Activity Score 0 (Cutaneous fluorouracil)

The gene variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of fluorouracil/capecitabine to inactive metabolites means that the normal dose is an overdose.

- avoid fluorouracil

NOTE: If an individual has two different genetic variations that lead to a non-functional DPD enzyme (e.g. \*2A and \*13), this recommendation only applies if the variations are on a different allele. If both variations are on the same allele, this individual actually has a gene activity score 1, for which no increased risk of severe, potentially fatal toxicity has been found with cutaneous use. These two situations can only be distinguished by determining the enzyme activity (phenotyping). This recommendation only applies if the individual has virtually no enzyme activity.

### Background Information - Mechanism

Fluorouracil is mainly (> 80%) converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Lower metabolic activity of DPD leads to increased intracellular concentrations of fluorodeoxyuridine monophosphate, the active metabolite of fluorouracil and its prodrug capecitabine. This leads to an increased risk of adverse events such as neutropenia, thrombopenia and hand-foot syndrome.

For more information about the phenotype gene activity score: see the general background information about DPD on the KNMP Knowledge Bank or on [www.knmp.nl](http://www.knmp.nl) (search for DPD).

**Please review the complete therapeutic recommendations that are located here:** (3, 4).

## Nomenclature for Selected *DPYD* Alleles

Common allele name	Alternative names	HGVS reference sequence		dbSNP reference identifier for allele location
		Coding	Protein	
rs3918290	<i>DPYD</i> *2A, c.1905+1G>A IVS14+1G>A	NM_000110.3:c.1905+1G>A	Not applicable—deletion of exon 14 leads to the production of a truncated protein	rs3918290
rs55886062	<i>DPYD</i> *13, c.1679T>G, rs55886062.1, p.Ile560Ser	NM_000110.3:c.1679T>G	NP_000101.2:p.Ile560Ser	rs55886062
rs67376798	c.2846A>T p.Asp949Val	NM_000110.3:c.2846A>T	NP_000101.2:p.Asp949Val	rs67376798

Table continued from previous page.

Common allele name	Alternative names	HGVS reference sequence		dbSNP reference identifier for allele location
		Coding	Protein	
rs75017182	c.1129-5923C>G	NM_000110.3:c.1129-5923C>G	Altered mRNA splicing introduces premature termination codon in resulting protein.	rs75017182
rs1801159	DPYD*5, c.1627G>A	NM_000110.4:c.1627A>G	NP_000101.2:p.Ile543Val	rs1801159
rs1801265	DPYD*9A, c.85T>C	NM_000110.4:c.85T>C	NP_000101.2:p.Cys29Arg	rs1801265
rs1801158	DPYD*4, c.1601G>A	NM_000110.4:c.1601G>A	NP_000101.2:p.Ser534Asn	rs1801158
rs1801160	DPYD*6, c.2194G>A	NM_000110.4:c.2194G>A	NP_000101.2:p.Val732Ile	rs1801160
rs72549306	DPYD*11, c.1003G>T, rs72549306.1	NM_000110.4:c.1003G>T	NP_000101.2:p.Val335Leu	rs72549306
rs1801267	DPYD*9B, c.2657G>A	NM_000110.4:c.2657G>A	NP_000101.2:p.Arg886His	rs1801267
rs72549303	DPYD*3, c.1898delC	NM_000110.4:c.1898del	NP_000101.2:p.Pro633fs	rs72549303
rs72549309	DPYD*7, c.295_298delTCAT	NM_000110.4:c.295_298TCAT[1]	NP_000101.2:p.Phe100fs	rs72549309
rs1801266	DPYD*8, c.703C>T	NM_000110.4:c.703C>T	NP_000101.2:p.Arg235Trp	rs1801266
rs1801268	DPYD*10, c.2983G>T	NM_000110.4:c.2983G>T	NP_000101.2:p.Val995Phe	rs1801268
rs78060119	DPYD*12, c.1156G>T	NM_000110.4:c.1156G>T	NP_000101.2:p.Glu386Ter	rs78060119
rs115232898	557A>G (Y186C)	NM_000110.4:c.557A>G	NP_000101.2:p.Tyr186Cys	rs115232898
rs2297595	496A>G (M166V)	NM_000110.4:c.496A>G	NP_000101.2:p.Met166Val	rs2297595
rs75017182 rs56038477	HapB3 1129-5923C>G 1236G>A	NM_000110.4:c.1129-5923C>G NM_000110.4:c.1236G>A	Altered mRNA splicing introduces premature termination codon in resulting protein. NP_000101.2:p.Glu412=	rs75017182 rs56038477
rs45445694	2R, 3R TYMS 5'UTR	GRCh37.p13 chr 18, NC_000018.9:g.657657_657712del, NC_000018.9:g.657657_657684GGCCTGCCTCCGTCCCGCCGCGCCACTT[1]- [9] <sup>#</sup>		rs45445694
rs11280056	TYMS 3'UTR	GRCh37.p13 chr 18, NC_000018.9:g.673447_673452del, NC_000018.9:g.673447_673452dup <sup>#</sup> NM_017512.7:c.*856_*861del		rs11280056

Table continued from previous page.

Common allele name	Alternative names	HGVS reference sequence		dbSNP reference identifier for allele location
		Coding	Protein	
rs2853542	TYMS 3RG, 3RC	GRCh37.p13 chr 18, NC_000018.9:g.657685G>C <sup>#</sup> NM_001071.4:c.-58=		rs2853542

<sup>#</sup> This is a non-coding variant in the *TYMS* untranslated region. Coordinates given are chromosomal.

Pharmacogenetic Allele Nomenclature: International Workgroup Recommendations for Test Result Reporting (68).

Allele information for *DPYD* can also be found at the Pharmacogene Variation Consortium ([PharmVar](#)).

Guidelines for the description and nomenclature of gene variations are available from the Human Genome Variation Society ([HGVS](#)).

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## Version history

To view the 2016 version of this summary (created on 15 September 2016) please click [here](#).

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